Photoinduced Cyclization of 2-Acetonyl-3-alkoxy-1,4-naphthoquinones: Formation of 2-Alkyl-Substituted 4-Methyl-naphtho[2,3-d]-1,3-dioxepin-6,11-diones

Katsuhiko Nakagawa

Department of Industrial Chemistry, Niihama National College of Technology, Niihama, Ehime 792 (Received December 25, 1990)

Synopsis. Irradiation of 2-acetonyl-3-alkoxy-1,4-naphthoquinones in benzene gave 2-alkyl-substituted 4-methylnaphtho[2,3-d]-1,3-dioxepin-6,11-dione and 2-acetonyl-3-hydroxy-1,4-naphthoquinone via intramolecular hydrogenabstraction reaction. Irradiation of quinones in methanol, however, gave the latter product exclusively.

Photochemical reaction of 2-alkoxy-1,4-naphthoquinones usually leads to the formation of quinone dimers¹⁾ and oxetanols²⁾ in high yields, via intramolecular hydrogen abstraction from the 2'-position of the side chain. This reaction is similar to the Norrish type II photoreaction of aromatic ketones.³⁾ Recently, we developed the convenient synthesis of 2-acetonyl-3-alkoxy-1,4-naphthoquinones (2) by the photochemical reaction of 2-alkoxy-1,4-naphthoquinones (1) with 2-methoxy-1-propene.⁴⁾ Interestingly, the photochemical reaction of 2 is quite different from those of 2-alkoxy-1,4-naphthoquinones with regard to the mechanistic points.⁵⁾ In this paper, the details of the novel photo-induced cyclization of 2 is described.

Results and Discussion

When a solution of 2-acetonyl-3-alkoxy-1,4-naphthoquinones (2) in benzene (0.0028 mol dm⁻³⁾ were irradiated with a high-pressure mercury arc lamp (300 W) through Pyrex filter for 15 min, the heterocyclic 1,4-

a: $R^1 = R^2 = H$, **b**: $R^1 = H$, $R^2 = CH_3$, **c**: $R^1 = R^2 = CH_3$

naphthoquinones 3 as a major products, accompanying with 2-acetonyl-3-hydroxy-1,4-naphthoquinone (4), were obtained and the results are summarized in Table 1. The structures of 3 were assigned on the basis of their spectroscopic and elemental analyses, and that of 4 was confirmed by comparison with the authentic sample. The yields of the cyclized products 3 increased in the order of 2a < 2b < 2c, reflecting the γ C-H bond strength. In order to confirm the existence of the hydroquinones derived from 2, 3, and 4, the following two experiments were done. After irradiation of 2c in benzene for 15 min, the reaction mixture was treated with acetic anhydride and pyridine to give a mixture of diacetate 7c

a: $R^1 = R^2 = H$, **b**: $R^1 = H$, $R^2 = CH_3$, **c**: $R^1 = R^2 = CH_3$

Reduction Products and Reductive Acetylation Products

Table 1. Photochemical Reaction of 2-Acetonyl-3-alkoxy-1,4-naphthoquinone 2

Quinone			Conv.a)		Isolated yields/%b)	
R^1	R^2			Solvent	3	4
Н	Н	2a	60	Ph-H	28	16
CH_3	H	2b	85	Ph–H	38	16
CH_3	CH_3	2c	87	Ph-H	77	11
H	H	2a	90	MeOH	_	41
CH_3	H	2 b	99	MeOH	_	50
CH_3	CH_3	2c	81	MeOH		70

a) Conversion of 2. b) Isolated yields were based on the consumed amounts of 2. Irradiation time, 15 min; $[2]=2.75\times10^{-3} \text{ mol dm}^{-3}$.

(37%) and 9c (20%), and triacetate 8 (5%) at 90% conversion. The structures of these acetates were confirmed by comparison with the authentic samples synthesized by the reductive acetylation of the corresponding quinones (2c, 3c, and 4).8) (Experiment 1) These results indicate that hydroguinones derived from 2, 3, and 4 are initial photoproducts, but they are oxidized to the corresponding quinones during work-up procedure involving chromatography over silica gel. In addition, after irradiation of 2c in benzene-d₆ for 5 min (40% conversion), the 400 MHz ¹H NMR spectrum of the reaction mixture revealed the peaks assignable to the hydroquinone **5c** at δ =0.97 (d, J=6.11 Hz, 6H), 1.75 (s, 3H), 3.58 (s, 2H), and 3.74 (sept, J=6.41 Hz, 1H) which were in agreement with those of the hydroquinone 5c obtained by the reduction of 2c with dithionite, in addition to the peaks due to 3c and 4. The peaks due to 5c disappeared after adding chloranil to the reaction mixture (Experiment 2). This novel photoinduced cyclization of 2 was sensitized by xanthone and quenched by anthracene, indicating that the reaction occurred from the triplet excited state of 2. From these results, a plausible mechanism for the formation of 3 is given in Scheme 1. Photocylization may begin with intramolecular γ -H-abstraction by the 3 (n, π^*) of 2 to give biradical 10, which intramoleculary attacks the carbonyl oxygen in the oxoalkyl side chain and cyclizes to 11.

Intramolecular disproportionation of the biradical 11 produces hydroquinone 12, which is oxidized by the unreacted 2 or air, to give 3.

On the other hand, irradiation of 2a—c in methanol gave preferentially 4 (41—70% yield) as shown in Table 1. In the case of the reaction of 2c the alkoxyl group lost in the course of the photochemical reaction in methanol was detected as acetone by gas chromatography, ¹H NMR, and ¹³C NMR. The yield of acetone increased with increasing irradiation time. From these results, a plausible mechanism for the formation of 4 is given in

Scheme 1. The photoinduced C–O bond cleavage may begin with intramolecular γ -H-abstraction as well as the formation of 3. In methanol the biradical 10 is stabilized by an adjacent heteroatom⁹⁾ such as oxygen to produce a zwitterionic intermediate 13, which reacts with methanol to give the acetal 14. Hydrolysis of 14 produces ketone (R¹R²C=O), methanol, and hydroquinone 15, which is oxidized by the unreacted 2 or air to give 4.

Experimental

Apparatus. Melting points were measured on Yanagimoto micro-melting points apparatus and are uncorrected. Infrared spectra were taken on a JASCO FT-IR 5000 spectrometer. ¹H NMR spectra were recorded on a JEOL GX-400 (400 MHz), a JEOL GX-270 (270 MHz) and a JEOL FX-90Q (90 MHz) spectrometer with use of tetramethylsilane as an internal standard and the chemical shifts are expressed in δ values. UV spectra were taken by using a JASCO Ubest-35 spectrometer. Elemental analyses were performed at the Advanced Instrumentation Center for Chemical Analysis, Ehime University. Mass spectra were recorded on a Hitachi M-2000 spectrometer. GC analyses were performed on a Hitachi Model 163, using a 3 mm i.d., ×2 m stainless steel column packed with 10% SE-30 on celite 545 AW. Preparative separations were performed by column chromatography over silica gel (Wakogel C-200). UV irradiations were carried out in a Pyrex vessel (Fuji glass) under a nitrogen atmosphere with a Fuji glass 300W high-pressure mercury arc lamp.

Materials. 2-Acetonyl-3-alkoxy-1,4-naphthoquinones 2 were prepared by the photochemical reaction of 2-alkoxy-1,4-naphthoquinones with 2-methoxy-1-propene in benzene.⁴⁾

General Procedure for the Photochemical Reaction of 2-Acetonyl-3-alkoxy-1,4-naphthoquinones 2. A degassed solution of 2-acetonyl-3-alkoxy-1,4-naphthoquinones 2 (0.5 mmol) in 180 cm³ of benzene or methanol in a Pyrex vessel, using a 300 W high-pressure mercury lamp from inside. The progress of the reaction was followed by thin-layer chromatography (TLC), NMR, or GC. After removal of solvent, the residual oil was separated by chromatography on

silica gel with dichloromethane-hexane as an eluant. Final purification was usually accomplished by preparative TLC and recrystallization.

Physical Properties of Photoproducts. 4-Methylnaphtho-[2,3-d]-1,3-dioxepin-6,11-dione (3a): Mp 197—198° C; ¹H NMR (270 MHz, CDCl₃) δ=2.13 (3H, s), 5.51 (2H, s), 6.00 (1H, s), 7.53—7.76 (2H, m), 7.83—8.13 (2H, m); ¹³C NMR (22.5 MHz, CDCl₃) δ=22.38 (q), 93.78 (t), 95.14 (d), 124.23 (s), 126.29 (d), 126.72 (d), 131.11 (s), 131.59 (s), 133.71 (d), 155.70 (s), 165.18 (s), 178.18 (s, C=O), 183.76 (s, C=O); IR (KBr) 1660, 1650, 1625, 1595, 1260 cm⁻¹; MS (20 eV), m/z 242 (M⁺); UV (λ_{max} , nm (log ε), CH₂Cl₂) 248 (4.30), 280 (4.27), 337 (3.54), 442 (3.30); Found: C, 69.40; H, 4.10%. Calcd for C₁₄H₁₀O₄: C, 69.42; H, 4.16%.

2,4-Dimethylnaphtho[2,3-*d*]-**1,3-dioxepin-6,11-dione** (3b): Mp 188—190 °C; ¹H NMR (270 MHz, CDCl₃), δ =1.79 (3H, d, J=7.1 Hz), 2.09 (3H, s), 5.29 (1H, q, J=7.1 Hz), 5.94 (1H, s), 7.67—7.70 (2H, m), 8.07—8.10 (2H, m); ¹³C NMR (22.5 MHz, CDCl₃) δ =20.81 (q), 22.60 (q), 94.81 (d), 101.15 (d), 124.66 (s), 126.34 (d), 126.66 (d), 131.76 (s), 133.71 (d), 154.55 (s), 163.45 (s), 170.63 (s, C=O), 183.93 (s, C=O); IR (KBr) 1676, 1659, 1638, 1597, 1576 cm⁻¹; MS (20 eV), m/z 256 (M⁺); UV (λ _{max}, nm (log ε), CH₂Cl₂) 278 (4.31), 452 (3.50); Found: C, 70.42; H, 4.70%. Calcd for C₁₅H₁₂O₄: C, 70.31; H, 4.72%.

2,2-Dimethylnaphtho[2,3-d]-1,3-dioxepin-6,11-dione (3c): Mp 90—91°C; ¹H NMR (270 MHz, CDCl₃), δ =1.64 (6H, s), 2.11 (3H, s), 6.04 (1H, s), 7.55—7.75 (2H, m), 7.95—8.15 (2H, m); ¹³C NMR (22.5 MHz, CDCl₃) δ =23.68 (q), 25.74 (q), 95.51 (d), 104.56 (s), 126.23 (d), 126.61 (d), 131.76 (s), 133.49 (d), 133.60 (d), 150.66 (s), 160.31 (s), 179.16 (s, C=O), 183.98 (s, C=O); IR (KBr) 1650, 1620, 1590, and 1260 cm⁻¹; MS (20 eV), m/z 270 (M+); UV (λ _{max}, nm (log ε), CH₂Cl₂) 273 (4.44), 446 (3.62); Found: C, 71.15; H, 5.18%. Calcd for C₁₆H₁₄O₄: C, 71.10; H, 5.22%.

Reductive Acetylation of the Quinones. Quinones (0.1 mmol), an excess amount of zinc powder (200 mg), and sodium acetate (30 mg) were added to acetic anhydride (3 cm³). Then, the mixture was refluxed for 30 min. After the yellow color due to the quinone disappeared completely, the reaction mixture was hydrolyzed and neutralized with sodium carbonate. The acetate was extracted with dichloromethane from the reaction mixture and purified further by p-TLC.

Physical Properties of the Diacetate. 6,11-Diacetoxy-4-methylnaphtho[2,3-d]-1,3-dioxepin (7a): Mp 169—170°C; ¹H NMR (270 MHz, CDCl₃) δ=2.05 (3H, s), 2.43 (3H, s), 2.46 (3H, s), 5.43 (2H, s), 7.25—7.50 (2H, m), 7.55—7.85 (2H, m); IR (KBr) 1760, 1655, 1603, 1185, 1082 cm⁻¹; MS (20 eV), m/z 328 (M⁺).

6,11-Diacetoxy-2,4-dimethylnaphtho[2,3-*d***]-1,3-dioxepin** (**7b):** Mp 158—160°C; ¹H NMR (90 MHz, CDCl₃) δ =1.63 (3H, d, J=5.1 Hz), 2.05 (3H, s), 2.43 (3H, s), 2.49 (3H, s), 5.38 (1H, q, J=5.1 Hz), 5.49 (1H, s), 7.28—7.50 (2H, m), 7.55—7.90 (2H, m); IR (KBr) 1756, 1647, 1605, 1183, 1087 cm⁻¹; MS (20 eV), m/z 342 (M⁺).

6,11-Diacetoxy-2,2,4-trimethylnaphtho[2,3-d**]-1,3-dioxepin** (7**c**): Mp 205—206°C; ¹H NMR (90 MHz, CDCl₃) δ =1.58 (6H, s), 2.03 (3H, s), 2.48 (3H, s), 5.50 (1H, s), 7.24—7.51 (2H, m), 7.53—7.80 (2H, m); IR (KBr) 1760, 1655, 1603, 1185, 1086 cm⁻¹; MS (20 eV), m/z 356 (M⁺).

2-Acetonyl-1,4-diacetoxy-3-methoxynaphthalene (9a): Mp 118—120°C; ¹H NMR (90 MHz, CDCl₃) δ =1.97 (3H, s), 2.43 (3H, s), 2.47 (3H, s), 3.75 (2H, s), 3.88 (3H, s), 7.30—7.57 (2H, m), 7.60—7.90 (2H, m); IR (KBr) 1760, 1715, 1603, 1210,

1178 cm⁻¹; MS (20 eV), m/z 328 (M⁺).

2-Acetonyl-1,4-diacetoxy-3-ethoxynaphthalene (9b): Mp 98—100°C; 1 H NMR (90 MHz, CDCl₃) δ =1.37 (3H, t, J=7.0 Hz), 2.11 (3H, s), 2.43 (3H, s), 2.45 (3H, s), 3.74 (2H, s), 4.08 (2H, q, J=7.0 Hz), 7.30—7.58 (2H, m), 7.60—7.88 (2H, m); IR (KBr) 1763, 1718, 1606, 1206, 1181 cm⁻¹; MS (20 eV), m/z 344 (M⁺).

2-Acetonyl-1,4-diacetoxy-3-isopropoxynaphthalene (9c): Oil; ¹H NMR (90 MHz, CDCl₃) δ =1.28 (6H, d, J=6.1 Hz), 2.06 (3H, s), 2.40 (3H, s), 2.42 (3H, s), 3.74 (2H, s), 4.44 (1H, sept, J=6.1 Hz), 7.30—7.55 (2H, m), 7.57—7.82 (2H, m); IR (KBr) 1774, 1709, 1603, 1178 cm⁻¹; MS (20 eV), m/z 358 (M+).

2-Acetonyl-1,3,4-triacetoxynaphthalene (8): Mp 165—167°C; ¹H NMR (90 MHz, CDCl₃) δ =2.06 (3H, s), 2.34 (3H, s), 2.44 (3H, s), 2.47 (3H, s), 3.60 (2H, s), 7.30—7.90 (4H, m); IR (KBr) 1779, 1726, 1606, 1178 cm⁻¹; MS (20 eV), m/z 358 (M⁺⁾

Reduction of 2-Acetonyl-3-isopropoxy-1,4-naphthoquinone A degassed solution of 2c (0.1 mmol) in ether (5 cm³) was added to a degassed solution of sodium hydrosulfite (0.23 mmol) in water (4 cm³) and stirred vigorously under a nitrogen. The solution became pale yellow in 30 min. The reaction mixture was poured into a separatory funnel. After removing the aqueous layer, the etheral solution was washed with saturated brine and dried over anhydrous sodium sulfate. filtrate was evaporated under reduced pressure to give the hydroquinone 5c with a small amount of 2c which was produced by the oxidation of 5c in the usual work up. ¹H NMR spectrum of the reaction mixture in benzene- d_6 , the presence of 2c was confirmed by direct comparison with ¹H NMR spectrum of an anthentic sample in benzene-d₆ and the structure of 5c was determined by the follow ¹H NMR spectral deta: Isopropoxy substituent at δ =0.97 (d, J=6.1 Hz, 6H, $-OCH(CH_3)_2$), and 3.74 (sept, J=6.4 Hz, 1H, -OCH- $(CH_3)_2$) and acetonyl substituent at $\delta=1.75$ (s, 3H, $-CH_2$ -COCH₃), and 3.58 (s, 2H, -CH₂COCH₃), and aromatic hydrogen at δ =7.18-7.38 (m, 2H), and 8.25-8.45 (m, 2H).

In this investigation I am particularly indebted to Professor K. Maruyama of Kyoto University for his valuable suggestions and encouragement and to Dr. A. Osuka of Kyoto University for his helpful discussions. Helps given by Dr. H. Uno at Advanced Instrumentation Center for Chemical Analysis, Ehime University is greatly appreciated.

References

- 1) J. V. Ellis and J. E. Jones, J. Org. Chem., 40, 485 (1975).
- 2) O. E. Edwards and P-T. Ho, Can. J. Chem., 56, 733 (1978).
- 3) P. J. Wagner, M. A. Meador, B. P. Giri, and J. C. Scaiano, J. Am. Chem. Soc., 107, 1087 (1985).
 - 4) K. Maruyama and S. Tai, Chem. Lett., 1985, 681.
- 5) K. Maruyama, A. Osuka, K. Nakagawa, T. Jinsenji, and K. Tabuchi, *Chem. Lett.*, **1988**, 1505.
 - 6) R. Hout and P. Brassard, Can. J. Chem., 52, 88 (1974).
- 7) "Rearrangements in Ground and Excited States," ed by P. de Mayo, Academic Press, New York (1980), Vol. 3, p. 407.
 - 8) A. Osuka, J. Org. Chem., 47, 3131 (1982).
- 9) N. P. Gritsan and N. M. Bazhin, *Izv. Akad. Nauk SSSR*, Ser. Khim., 1980, 1275.